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PATENT
Attorney Docket No.: 021164-000310US

Mail Stop Amendment
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P.O. Box 1450

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TOWNSEND and TOWNSEND and CREW LLP

By:
Mark T. Davis

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

TSANG et al.

Application No.: 10/658,437

Filed: September 8, 2003

For: CD56 POSITIVE HUMAN ADULT
PANCREATIC ENDOCRINE
PROGENITOR CELLS

Examiner: Ruth A. Davis

Art Unit: 1651

SECOND SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

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Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the European application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

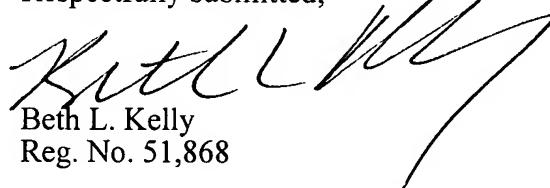
This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

CERTIFICATION

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


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|---------------------------------|---|----|---|-------------------------------|-------------------|
| Substitute for form 1449A&B/PTO | | | | <i>Complete if Known</i> | |
| | | | | <i>Application Number</i> | 10/658,437 |
| | | | | <i>Filing Date</i> | September 8, 2003 |
| | | | | <i>First Named Inventor</i> | Tsang, Wen-Ghih |
| | | | | <i>Art Unit</i> | 1651 |
| | | | | <i>Examiner Name</i> | Ruth A. Davis |
| Sheet | 1 | of | 1 | <i>Attorney Docket Number</i> | 021164-000310US |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

OCT 14 2006
PATENT & TRADEMARK OFFICE

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|-----------------------|---------------------------|---------------------|-----------------------------------|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
| | AA | WO | 02/02750 | A1 | 01-10-2002 | | <input type="checkbox"/> |

| NON PATENT LITERATURE DOCUMENTS | | | | | |
|---------------------------------|-----------------------|---|--|--|--------------------------|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | T ² |
| | AB | SORIA, B. et al.; "From stem cells to beta cells: new strategies in cell therapy of diabetes mellitus"; 2001, <u>Diabetologia</u> , Vol. 44, pp. 407-415. | | | <input type="checkbox"/> |
| | AC | YANG, Lijun et al.; "In vitro trans-differentiation of adult hepatic stem cells into pancreatic endocrine hormone-producing cells"; 2002, <u>PNAS</u> , Vol. 99, No. 12, pp. 8078-8083. | | | <input type="checkbox"/> |

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|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.